

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appellant: McLean  
Serial No.: 09/656,808  
Group Art Unit: 2837  
Filed: September 7, 2000  
Examiner: E. San Martin  
For: TUNED HELMHOLTZ RESONATOR USING CAVITY FORCING  
Commissioner of Patents & Trademarks  
Washington, D.C. 20231

Dear Sir:

**APPEAL BRIEF**

Subsequent to the Notice of Appeal express mailed to the Patent and Trademark Office on  
01/09/2003 TDAWKINS 00000001 501482 09656808  
01 FC:1402 December 30, 2002 and received on January 4, 2003, Appellant now submits its Brief. You are hereby  
authorized to charge Deposit Account No. 50-1482 in the name of Carlson, Gaskey & Olds in the  
amount of \$320.00 for the appeal brief fee. You may charge any additional fees necessary to the same  
Deposit Account.

**REAL PARTY IN INTEREST**

The real party in interest Siemens Canada Limited of Tilbury, Ontario, Canada. Siemens  
Canada Limited is the Assignee of all right and title in this Application from the inventor.

13/ Appeal  
Brief  
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TECHNOLOGY CENTER 2800

**RELATED APPEALS AND INTERFERENCES**

There are no related appeals or interferences.

**STATUS OF CLAIMS**

Claims 2, 4-12, and 14-20 stand finally rejected, and more specifically, all of the claims stand rejected under §103(a) over Geddes in view of Fukami. The final rejection of all of the pending claims is being appealed.

**STATUS OF AMENDMENTS**

All of the amendments have been entered.

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**TECHNOLOGY CENTER 2800****SUMMARY OF THE INVENTION**

Referring to page 1 of the Specification, internal combustion engines produce undesirable induction noise which adversely affects the output torque and volumetric efficiency of the engine. The induction noise produced by the engine depends on the particular engine configuration and is affected by such factors as the number of cylinders, the volume and shape of the intake manifold plenum and intake runners, and other induction system parameters. The induction noise is caused by a pressure wave that travels away from the combustion chamber toward the inlet of the air induction system. The induction noise may be reduced and the engine performance improved by producing a wave traveling in the direction of the combustion chamber 180° out of phase of the noise wave. To this end, noise attenuation devices have been developed.

A Helmholtz resonator is one widely used noise attenuation device. The Helmholtz resonator produces a pressure wave that counteracts primary engine order noise waves, which have the greatest negative impact on engine performance. Helmholtz resonators typically provide a passive response targeted at a particular band width of noise. Because the Helmholtz resonator typically provides a passive response, losses occur which decrease the overall effectiveness of the resonator by producing a pressure wave having a narrower bandwidth and smaller amplitude than desired. Variable Helmholtz resonators have been developed, which vary the volume of the resonator to vary the band width at which the resonator attenuates noise. However, these variable resonators do not address the passive losses associated with the Helmholtz resonator. Accordingly, it is desirable to widen the band width of a Helmholtz resonator to further attenuate noise and increase the performance of the engine.

Referring to page 3 of the Specification, an internal combustion engine 10 is shown in Figure 1. An air induction system 12 provides air to the engine 10 for mixture with fuel. The air/fuel mixture is burned in a combustion chamber. Air is provided from the atmosphere through an air intake 20 that feeds air through a filter box 18. The air travels along passageway 24 through a throttle body 16 which controls the amount of air that travels through the passageway 24 to the engine 10. The air is fed to an intake manifold 14 which then distributes the air to the combustion chambers through runners 15. During the combustion process noise pressure waves N are produced in the induction system 12 which reflect back into the combustion chambers and negatively effect engine performance.

A Helmholtz resonator 28 is shown in fluid connection with the passageway 24 to produce noise attenuating pressure waves which at least partially cancel the noise pressure waves N. Primary order engine noise, or the most undesirable engine noise, is related to the speed of the engine.

Helmholtz resonators are designed to attenuate noise at the primary order. The frequency at which the primary order engine noise occurs is: number of cylinders/2 x engine speed/60.

The Helmholtz resonator which is shown in Figure 2 includes a chamber 30 defining a cavity 32. A neck 34, which is shown as a tubular structure, extends from the chamber 30 and is in fluid communication with the cavity 32. The volume defined by the cavity 32 and the area of the neck 34 largely effect the band width of the noise attenuating frequency. The Helmholtz resonator 28 produces a passive response  $R_p$  that is approximately  $180^\circ$  out of phase from the noise wave  $N$ , shown in Figure 3. The passive response  $R_p$  has a bandwidth narrower and an amplitude smaller than desired.

Referring to page 4 of the Specification, the resonator 28 is shown arranged between the throttle body 16 and the intake manifold 14. However, it is to be understood that the resonator 28 may be arranged anywhere along the induction system 12. Preferably, the resonator 28 is arranged between the throttle body 16 and the intake manifold 14 because the largest portion of the noise pressure wave is reflected from the throttle body 16 back to the intake manifold 14. With the resonator 28 arranged as shown in Figure 1, a larger portion of the noise pressure wave may be attenuated by the resonator 28.

The present invention increases the band width of the resonator 28 by producing a forced response  $R_f$  shown in Figure 3. The forced response supplements the passive response  $R_p$  and together provide a wider band width and higher amplitude than the passive response from the Helmholtz resonator 28. As a result, a larger portion of the noise pressure wave may be attenuated. To this end, the present invention utilizes a active resonator, preferably a loud speaker 38, to produce the forced response. The chamber 30 includes a flange 36 to which the

loud speaker 38 is attached. The flange 36 has an opening within which the loud speaker diaphragm 40 is disposed. The loud speaker 38 is driven by a driver 50 that drives the diaphragm 40 to produce a pressure wave that supplements the passive response pressure wave  $R_p$ . That is, the forced response  $R_f$  is in phase with the passive response  $R_p$ . The flange 36 includes pressure equalization ports 42 that equalizes the pressure on either side of the flange 36 to permit consistent operation of the resonator 28 of the present invention in various altitudes and changing atmospheric pressures. The equalization ports 42 are small enough to prevent pressure waves from exiting the cavity 32 through the equalization ports 42 so that the noise attenuating and pressure wave will not escape but will be directed to the noise wave. Preferably the pressure equalization ports 42 are about 1/8 inch in diameter.

Since the Helmholtz resonator 28 is designed to attenuate noise produced at the primary engine order, the driver 50 preferably includes a signal source 52 that senses the speed of the engine. Continuing on page 5 of the Specification, such signals are commonly produced by proximeters that read notches on a timing gear. The speed signal is used by an ECU 58 for devices such as the tachometer and engine control. The signal source 52 is sent to a phase compensator 54 that adjusts the sinusoidal output from the signal source 52 so that it is approximately  $180^\circ$  out of phase with the noise pressure wave. The phase compensator 54 adjusts for such parameters as the speaker response, the volume response of the Helmholtz resonator, and the neck response of the Helmholtz resonator. These parameters may be determined through experimentation during the engine development process. An audio amplifier 56 amplifies the signal from the phase compensator 54 which is typically a low voltage signal. The signal from the audio amplifier 56 drives the loud speaker 38 to produce the forced response.

The forced response  $R_f$  and passive response  $R_p$ , which are of wider band width and greater amplitude, are radiated back to the engine 10 to increase the engine performance.

### **ISSUE**

Is the rejection of claims 2, 4-12, and 14-20 proper under §103(a) over Geddes in view of Fukami given 1) the combination does not provide all of the limitations of claim 8, 2) there is no benefit in combining the references to provide the limitations of claims 9 and 10 in light of their teachings, and 3) noise is not proportional to engine speed?

### **GROUPING OF CLAIMS**

The term "contested" means that Appellant is appealing the rejection provided by the Examiner to the particular claim or claims. The claims are grouped together by letter, and the claims within a particular group stand or fall together. However, the claims of one group do not stand or fall with the claims of another group.

- A. Claims 2, 4-8, 11, 12, and 14 are contested.
- B. Claims 9 and 10 are separately contested.
- C. Claims 15-20 are separately contested.

### **ARGUMENTS**

A. Geddes and Fukami do not disclose all of the limitations of claim 8

Claim 8 is an independent apparatus claim. Claims 2, 4-7, 11, 12, and 14 depend from claim 8 and are not separately argued under this section.

The Examiner argues that all the limitations of claim 8 are disclosed in Geddes except that the portion with the passageway is not disclosed as being arranged between an intake manifold and a throttle body. The Examiner argues that Fukami teaches a noise attenuation system with a portion defining a passageway arranged between the intake manifold and throttle body, and relies upon Figure 2 and column 2, lines 66 - column 3, line 39.

The portion with the passageway of the air induction system is not arranged between the intake manifold and throttle body in Fukami, as argued by the Examiner. Rather, the passageway for the induction noise attenuation system is shown arranged upstream of the intake manifold and throttle body in Figure 2. In order for Fukami to meet the limitation of claim 8, the passageway of the noise attenuation system would have to be arranged between element 9 (the intake passage) and element 10 (the carburetor). Presumably, the Examiner is arguing that the intake duct 13 is an intake manifold. While the Examiner is permitted to read claim language broadly, the Examiner cannot adopt a definition repugnant to the ordinary meaning of a term, which is the case with such an interpretation of Fukami. One of ordinary skill in the art would not equate an intake duct upstream of a throttle to be an intake manifold. The ordinary meaning of "intake manifold" to one of ordinary skill in the art is the component fastened to the cylinder head that branches from a single passage downstream of the throttle to multiple passages, each corresponding to a combustion chamber. The Examiner's reading of intake manifold is repugnant to ordinary meaning of the term.

In the Response to Arguments section of the Examiner's Office Action mailed on June 26, 2002, the Examiner argues that Kameda, Brackett, and Tanaka teach the use of a noise attenuation system being placed between an intake manifold in a throttle body. This statement by the Examiner is

irrelevant since the Examiner has not provided a rejection of any of the claims based upon these references. If the Examiner would like to issue a Non-Final Office Action with a new rejection incorporating any of these references, then the Appellant can respond to the rejection. Otherwise, any reliance upon these references by the Examiner is improper. In any event, there is no suggestion or motivation to combine any of the references. Once the Examiner provides a new rejection, the Appellant may be afforded an opportunity to respond to the rejection out of fairness.

Accordingly, the combination of Geddes and Fukami cannot meet the limitations of claim 8, and claim 8 and the depending claims are allowable.

*B. There is no benefit to combine Geddes and Fukami to yield the limitations of claims 9 and 10*

Claims 9 and 10 depend from claim 8, but are additionally allowable for the reasons set forth below.

There must be some benefit to combining the references in order to suggest or motivate one of ordinary skill in the art to modify the base reference, Geddes. Absent some benefit, Fukami and Geddes cannot properly be combined. Geddes discloses a transducer arrangement for active noise cancellation for exhaust systems. A sensor 12 and a feedback sensor 24 are arranged in space relationship along the exhaust system. Specifically, the sensor 12 is arranged between the catalytic converter 54 and the muffler 56, and the feedback sensor 24 is arranged between the terminal end of the exhaust system and the noise cancellation housing 58. The sensors 12 and 24 measure the actual noise in the exhaust system and send a signal to the electronic controller 60. Based upon the actual noise sensed the controller 60 generates a signal to the loud speaker to actively cancel the noise.



Measurement of engine speed as taught by Fukami provides no benefit in Geddes. That is, it does not matter in Geddes what the engine speed is, but only the actual noise in the exhaust system. Using engine speed is an indirect way of determining noise, which must then be calculated using numerous equations that what the noise may be in the system. The calculations are base on a model that may not be accurate from vehicle to vehicle based upon different component configurations and tolerances. Measuring actual noise in a system enables the production of a noise cancellation wave for the particular configuration for that particular vehicle. If one were already measuring the actual noise, what would motivate one to also estimate it by measuring some other parameter such as the engine speed in Fukami? To do so would be duplicative and provide less accurate results. The Examiner statement that speed of the engine is directly proportional to noise produced by the engine it is inaccurate. As a result, there is not motivation for one of ordinary skilled in the art to combine Fukami and Geddes to obtain Appellants invention as defined in the claims.

C. Noise is not proportional to engine speed as argued by the Examiner in rejecting claim 15

Claim 15 is an independent method claim having a similar limitation to claim 9 relating detecting engine speed. Claims 16-20 depend from claim 15. There is no benefit in combining Geddes and Fukami as argued above relative to claims 9 and 10.

As stated above relative to claim 8, the Examiner's reliance upon Kameda, Brackett, and Tanaka is improper. The Examiner also relies upon these references in arguing the rejection to claim 15 (on page 5 of the June 26, 2002 Office Action) that they teach a noise attenuation system that reads the engine speed in order to produce the noise-canceling wave. The Examiner concludes that if the

speed of the engine was not proportional to the magnitude and the noise produced then why would both references and the Appellant be interested knowing the speed of the engine in order to attenuate noise? Again, Appellant reiterates the improper use of the references by the Examiner. Furthermore, the references do not teach that engine speed is proportional to the noise. The Examiner statement that speed of the engine is directly proportional to noise produced by the engine it is inaccurate. Noise occurs at different frequencies and various engine orders. The noise for a particular engine order may rise or fall based upon increased engine speed. That is, there is one or more spikes or peaks for a particular engine order as the speed increases. If noise were proportional to speed, then the noise would continuously increase as speed increases. To illustrate, Fukami depicts in Figure 9 several noise peaks after which the noise falls as the speed increases. How then can the noise be proportional to the speed? Accordingly, the combination of Geddes and Fukami is improper with respect to claim 15 for this additional reason.

#### CLOSING

For the reasons set forth above, the final rejection of all claims is improper and must be reversed. An early indication of such is earnestly solicited.

Respectfully submitted,

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Dated: January 6, 2003

**CLAIM APPENDIX**

2. The Helmholtz resonator according to claim 8, wherein said neck is a tubular structure extending from said chamber.

4. The Helmholtz resonator according to claim 14, wherein said loudspeaker is a woofer.

5. The Helmholtz resonator according to claim 14, wherein said chamber includes a flange with said loudspeaker supported thereon, and said loudspeaker having a diaphragm disposed within an opening in said flange for producing said forced response.

6. The Helmholtz resonator according to claim 5, wherein said flange includes at least one pressure equalization port there through in fluid communication with said cavity.

7. The Helmholtz resonator according to claim 6, wherein said flange is arranged opposite said neck.

8. An induction noise attenuation system for a combustion engine comprising:  
a portion of an air induction system defining a passageway arranged between an intake manifold and a throttle body carrying a sound wave;

a Helmholtz resonator having a chamber at least partially defining a cavity and a neck in said chamber fluidly connecting said portion of said air induction system and said cavity, said chamber and said neck producing a passive response to said sound wave;

an active resonator disposed within said chamber; and

a driver connected to said active resonator producing a signal for driving said active resonator and producing a forced response for supplementing said passive response.

9. The system according to claim 8, wherein said driver includes a signal source that detects a speed of the combustion engine for synchronizing said forced response relative to said speed.

10. The system according to claim 9, wherein said signal source is engine RPM.

11. The system according to claim 9, wherein said driver includes a phase compensator for synchronizing said forced response approximately 180° out of phase with said sound wave.

12. The system according to claim 9, wherein said driver includes an amplifier for amplifying a signal from said signal source.

14. The system according to claim 8, wherein said active resonator is a loudspeaker.

15. A method of attenuating noise in an induction system comprising:

- a) sensing an engine speed;
- b) producing a phase compensated engine speed signal;
- c) driving a loudspeaker with the phase compensated engine speed signal; and
- d) propagating a sound wave with the loudspeaker to attenuate the noise in the

induction system.

16. The method according to claim 15, further including the step of:

- e) amplifying the engine speed signal.

17. The method according to claim 15, further including the step of:

- f) propagating a passive sound wave with a Helmholtz resonator, wherein step d) supplements the passive sound wave.

18. The method according to claim 17, wherein step b) includes determining a loudspeaker response.

19. The method according to claim 17, wherein step b) includes determining a Helmholtz resonator cavity response.

20. The method according to claim 17, wherein step b) includes determining a Helmholtz resonator neck response.

PTO/SB/97 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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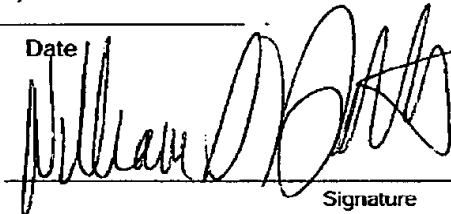
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